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November 14, 2003

ATTORNEY DOCKET NO. **05793.3114**
CUSTOMER NO. 22,852

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

New U.S. Patent Application
Title: **SYSTEMS AND METHODS FOR MANAGING**
A FINANCIAL INVESTMENT FUND
Inventors: Benjamin H. MALKA and Brian J. HIRSCHFELD

22241 U.S. PTO
10/712353
111403

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

1. Application - 51 pages, including 6 independent claims and 93 claims total.
2. Drawings - 4 sheets of drawings (Figures 1-4).
3. Declaration and Power of Attorney.
4. Recordation Form Cover Sheet and Assignment to Capital One Financial Corporation.
5. The filing fee is calculated as follows:

| | | | | | | |
|---|---------------------|---|-------|-----------------|--------|-------------|
| Basic Application Filing Fee | | | | | \$770 | \$ 770.00 |
| | Number of Claims | | Basic | Extra Claims | | |
| Total Claims | 93 | - | 20 | 73 | x \$18 | 1,314.00 |
| Independent Claims | 6 | - | 3 | 3 | x \$86 | 258.00 |
| <input type="checkbox"/> Presentation of Multiple Dep. Claim(s) | | | | | +\$290 | 0.00 |
| Subtotal | | | | | | \$ 2,342.00 |
| Reduction by 1/2 if small entity | | | | | | - 0.00 |
| TOTAL APPLICATION FILING FEE | | | | | | \$ 2,342.00 |

6. A check for \$2,382.00 is enclosed. The fee includes:

\$770.00 filing fee;
\$1,572.00 additional claims fee; and
\$40.00 Assignment recordation fee.

Please address all correspondence with respect to this application to:

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

Please accord this application an application number and filing date and record
and return the Assignment to the undersigned.

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DUNNER ^{LLP}

The Commissioner is hereby authorized to charge any additional filing fees due and any other fees due under 37 C.F.R. § 1.16 or §1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: _____


D. Kent Stier
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DKS/sg
Enclosures